

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau





### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup>: C12Q 1/68, 1/00, C12P 21/06, C12N 15/00, 1/20, G01N 33/566, C07K 3/00

A1

(11) International Publication Number:

WO 95/06754

(43) International Publication Date:

9 March 1995 (09.03.95)

(21) International Application Number:

PCT/US94/09898

(22) International Filing Date:

1 September 1994 (01.09.94)

(30) Priority Data:

08/115,161

1 September 1993 (01.09.93)

US

(71) Applicant: THE REGENTS OF THE UNIVERSITY OF CALIFORNIA [US/US]; 22nd floor, 300 Lakeside Drive, Oakland, CA 94612 (US).

(72) Inventors: KUSHNER, Peter, 1362 - 6th Avenue, San Francisco, CA 94122 (US). WEBB, Paul; 1300 Irving Street #21, San Francisco, CA 94122 (US).

(74) Agents: BASTIAN, Kevin, L. et al.; Townsend and Townsend Khourie and Crew, Steuart St. Tower, 20th floor, One Market Plaza, San Francisco, CA 94105 (US). (81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, TJ, TT, UA, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD).

Published

With international search report.

(54) Title: METHODS FOR SCREENING ANTIESTROGEN COMPOUNDS

(57) Abstract

The present invention provides novel assay methods for identifying compounds that may have both estrogen agonist and antagonist properties. In particular, the assay use cells comprising promoters having an API site linked to a reporter gene. Compounds capable of inducing or blocking expression of the reporter gene can thus be identified. The compounds may be futher tested for the ability to modulate the standard estrogen response, as well.

## FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	. GB	United Kingdom	MR	Mauritania
ΑŪ	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	ВU	Hungary	NO	Norway
BG	Bulgaria .	IE	Ireland	NZ	New Zealand
BJ	Benin	11	Italy	PL.	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Cenada	KG	Kyrgystan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic	SD	Sudan
CG	Congo	-	of Korea	SE	Sweden
CH	Switzerland	KR	Republic of Korea	SI	Slovenia
CI	Côte d'Ivoire	KZ	Kazakhstan	SK	Slovakia
CM	Cameroog	LI	Liechtenstein	SN	Senegal
CN	China	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
cz	Czech Republic	LV	Latvia	TJ	Tajikistan
DE	Germany	MC	Monaco	TT	Trimidad and Tobago
	•	MD	Republic of Moldova	UA	Ukraine
DK	Denmark	MG		US	United States of America
ES	Spain		Madagascar	_	
FI	Finland	ML	Mali	UZ	Uzbekistan
FR	Prance	MN	Mongolia	VN	Vict Num
GA	Gabon				

10

15

20

25

10

35

1

# METHODS FOR SCREENING ANTIESTROGEN COMPOUNDS

#### BACKGROUND OF THE INVENTION

Many breast tumors require estrogens for tumor growth. Thus, treatment with antiestrogen compounds can slow or prevent tumor spread. Many antiestrogens however, show both estrogen antagonistic and agonistic activity. The nonsteroidal antiestrogen tamoxifen, for example, which is established as the treatment of choice for the endocrine therapy of advanced breast cancer, shows both agonistic and antagonistic activity. Sutherland, S. & Jackson, M. Cancer Treat. Revs. 15: 183-194 (1987).

The agonistic activity of tamoxifen and other antiestrogens may have profound effects upon patients. For example, agonistic activity may have beneficial effects, such as preventing osteoporosis and reducing serum cholesterol.

Love, et al. New Eng. J. Med. 326: 852-856 (1992). Love, et al. J. Natl. Cancer Inst. 82: 1327-1332 (1990). Conversely, agonistic activity may also be harmful. Tamoxifen for example sometimes increases endometrial tumor incidence Iino, et al. Cancer Treat. & Res. 53: 228-237 (1991) or switches from inhibition to stimulation of estrogen dependent growth in breast tumor progression. Parker, M.G. (ed) Cancer Surveys 14: Growth Regulation by Nuclear Hormone Receptors. Cold Spring Harbor Laboratory Press (1992).

It is desirable to identify pure antiestrogens as hey are anticipated to provide more rapid, complete or longer-lasting tumor responses. Wakeling, A. E. Breast Cancer Res. & Treat. 25: 1-9 (1993). For example, ICI 164,384, thought to be a pure antiestrogen, blocked MCF-7 cell invasion activity of a re-constituted basement membrane while estradiol and 4'-hydroxytamoxifen stimulated this activity suggesting that early treatment of breast cancer with a pure antiestrogen might be particularly beneficial in limiting tumor spread. Braacke, et al., Br. J. Cancer 63: 867-872 (1991).

PCT/US94/09898

5

10

15

20

25

5

10

Conversely, while pure antiestrogens appear preferable for cancer treatments, mixed agonist-antagonist compounds may be preferable for preventative treatment. Such compounds should combine sufficient antagonist activity on estrogen stimulated breast tumor growth while maintaining simultaneous agonist activity on bone density and serum lipid levels.

Currently, antiestrogen compounds are screened with animal models such as the rat uterine test. These tests are cumbersome, slow, expensive and of uncertain application to humans because of differences between the human and rodent estrogen receptors.

Alternatively, investigators have used human breast cancer cell lines and assayed their growth as stimulated by estrogens or alternatively used the response of native or transfected reporter genes as assays of estrogen response. These cell lines utilize reporter genes that indicate activity of antiestrogen compounds that is mediated through the classical estrogen response element.

The prior art fails to provide methods for quickly and easily testing potential antiestrogen compounds for agnostic as well as antagonistic properties mediated through pathways other than the classical estrogen response pathway, that may affect, adversely or beneficially, their use in various therapeutic applications. This invention addresses these and other problems in the art.

#### SUMMARY OF THE INVENTION

The present invention provides methods for screening test compounds for the ability to activate or inhibit transcription through an indirect estrogen response or classical estrogen response. The indirect estrogen response is mediated by promoters comprising an AP1 site and the classical estrogen response is mediated by promoters comprising a classical estrogen response element. Preferred AP1 sites can be isolated from metalloprotease genes. Preferred classical estrogen response elements can be isolated from the Xenopus vitellogenin A2 gene.

3

The methods typically use cells comprising an estrogen receptor and a promoter comprising an AP1 site which regulates expression of a reporter gene. The cells are then contacted with the test compound and the expression of the reporter gene is detected.

15

20

25

30

35

45

Cells used in the assay can be any cell which naturally expresses estrogen receptors or as the result of a transgene encoding the receptor. Preferred cells include MCF-7, ERC1, ERC2 or ERC3. The reporter genes used to detect an estrogen response include genes encoding beta-galactosidase and bacterial chloramphenicol acetyl transferase. The promoters used may be those which naturally comprise AP1 or estrogen receptor elements or the promoters may be genetically engineered to comprise those elements.

The assays may be used with cells comprising promoters with an AP1 site. Or alternatively a second set of cells with a promoter comprising a classical estrogen response element which regulates expression of a second reporter gene can be used. Alternatively, the two promoters and reporter genes can be in the same cells. In these assays the ability of test compound to induce or inhibit both the indirect and classical pathways can be determined.

When the methods are used to identify estrogen antagonists, the test compounds are contacted with the cells and a compound known to mediate an indirect estrogen response. The ability to inhibit the response is determined by detecting the expression of the reporter gene. Compounds known to mediate an indirect estrogen response include tamoxifen and estrogen at half maximal concentrations. The compounds can also be tested for the ability to induce or block the classical estrogen pathway, as well.

The invention further provides compounds identified by the claimed methods. Also claimed are cells which over-express an estrogen receptor and comprise a promoter comprising an AP1 site which regulates expression of a reporter gene. Exemplary cells include ERC1.

4

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows estrogen stimulation mediated by an AP1 site. (A) A consensus AP1 site has ERE-like activity. Reporter genes, Acoll73 and Acoll60, were transfected into ERC1 cells (Kushner, et al., Mol. Endocrinol., 4: 1465-1473 5 (1990) Acoll73 consists of human collagenase promoter (-73 to +63) cloned upstream of the CAT gene. Angel, et al. Mol. Cell. Biol., 7: 2256-2266 (1987). The position of the consensus AP1 site is indicated. Acoll60 lacked the AP1 site 10 (-73 to -60). ERE∆coll60 contains an oligonucleotide corresponding to the frog vitellogenin A2 consensus ERE (Parker, M.G. (Ed), Cancer Surveys 14 Growth Regulation by Nuclear Hormone Receptors. Cold Spring Harbor Laboratory Press (1992)) immediately upstream of the collagenase 15 sequences in  $\Delta$ coll60. Typical results of CAT assays, normalized for transfection efficiency, following a single transfection are shown opposite. Each point is the mean value of triplicate assays, with standard errors. CAT activities from cells maintained in the absence of hormone are shown as 20 white bars, those in the presence of a saturating concentration (100 nM) of estradiol as black bars. (B) Estrogen induction at the AP1 site requires AP1 proteins. Results of CAT assays prepared from F9 cells transfected with  $\Delta$ coll73.

Figure 2 shows activity of AP1 sites in MCF-7 cells.

Estrogen induction of AP1 dependent reporter genes Δcoll73 and Δcoll60 was described above. AP1ΔTK-CAT consists of the collagenase AP1 site cloned upstream of a herpes simplex virus TK promoter (-32 to +45). TK-TATA lacks any known upstream transcription factor binding sites. CAT activities in the presence (black) and absence (white) of estrogen are shown opposite each reporter.

Figure 3 shows agonism by antiestrogens at the AP1 site. (A) CAT assays of ERC1 cells transfected with  $\Delta$ coll73,  $\Delta$ coll60 or ERE $\Delta$ coll60 and incubated with saturating concentrations (100nM) of estrogen (black), tamoxifen (shaded) and ICI (striped) or no hormone (white). A single experiment is shown, where the activities are the mean of three separate

55

60

65

75

(B) Dose dependence of antihormone agonism. ERC1, transfected with  $\Delta$ coll73 were plated and exposed to a range of 40 hormone concentrations. Each point is the mean of triplicate assays of CAT reactivity. (C) Antiestrogen activity in estrogen responsive cell lines. Results of representative CAT assays following transfection of  $\Delta$ coll73 and  $\Delta$ coll60 into MCF-7 cells and  $\Delta$ coll73,  $\Delta$ coll60 and ERE $\Delta$ coll60 into Ishikawa 45 cells are shown. A single experiment is shown, where the activities are the mean of three separate points.

Figure 4 shows antiestrogen action in transient transfections. (A)  $\Delta$ coll73 was introduced into CHO cells, with varying quantities of ER expression vector HEO (Holinka, C.F. et al., J. Steroid Biochem., 25: 781-786 (1986) which is herein incorporated by reference), normalized to  $10\mu g$  with the parent expression vector, SG5. CAT activities were determined in the presence of hormones, as in Figure 3A. (B) Requirement of ER domains for hormone action. The structure of four derivatives of the human ER cDNA is shown schematically. DNA binding domain is indicated with the striped box, the ligand binding domain is marked E2. Transactivation functions TAF-1 and TAF-2 are marked. The right panel shows the effect of hormone upon  $\Delta$ coll73 in the presence of each mutant. Transfections were carried out as described by Kushner, et al., 4: 1465-1473 (1990) which is herein incorporated by reference, in CHO cells, including 300ng of each ER expression vector.

# DESCRIPTION OF THE PREFERRED EMBODIMENT

The present invention provides an efficient way + screen large numbers of test compounds for those which have desirable properties for either the treatment or the prevention of various cancers (e.g. breast cancer, ovarian cancer, endometrial cancer) and other diseases (e.g. 70 endometriosis) mediated by estrogen. The invention allows for screening of test compounds for agonistic as well as antagonistic activity. In addition, this invention provides methods of screening for novel types of antiestrogen compounds that block only the indirect actronom washing

85

90

95

100

105

block estrogen action at classical estrogen response elements.

In animals and in man the balance between stimulatory and inhibitory activities of antiestrogens such as tamoxifen varies widely depending on the organ, cell or specific protein measured as an indicator of estrogenic activity. This variety of effects is difficult to reconcile with the model of antagonism of estrogen receptor (ER) activity at classical estrogen receptor elements (EREs) as described in Beato, M. Cell, 56: 335-344 (1989) and Klein-Hitpass, et al., Nucleic Acids Res., 16: 647-663 (1988) which are incorporated herein by reference.

The present invention relies, in part, on the discovery that ERs may activate transcription by interaction with another response element, the AP1 binding site, instead of binding to EREs. This AP1 mediated pathway, referred to here as the indirect estrogen response, may account for much of the agonistic properties of tamoxifen and other putative antiestrogens. A general description of the AP1 site is found in Angel & Kann, Biochem. Biophys. Acta., 1072: 129-157 (1991) and Angel, et al., Cell, 49: 729-739 (1987), which are incorporated herein by reference.

In the methods of the invention, both the classical estrogen response elements and the indirect estrogen response may be used to provide a screening system that detects both estrogen antagonistic and agonistic activity. The methods typically comprise cultured cells that produce high levels of the human estrogen receptor. Preferred cells include MCF-7 cells or ERC1 cells described in Kushner et al., Mol. Endocrinol., 4:1465-1473 (1990), which is incorporated herein by reference. ERC2 and ERC3 cells as described by Webb, et al. Mol. Endocrinol., 6:157-167 (1993) which is herein incorporated by reference.

Other appropriate cells, including for example
Ishikawa cells, and various breast cancer cells, which are
known to one of skill in the art. The invention is not
limited to practice in mammalian cells and may be practiced,
for example in yeast and insect cells.

7

The cells may be modified to provide truncated or chimeric estrogen receptors as described in Berry, et al., E.M.B.O. J., 9: 2811-2818 (1990) which is herein incorporated by reference. These modifications may result in increased estrogen affinity and increased sensitivity of the assay.

115

120

125

130

135

140

In addition, these cells are transfected with reporter genes in which a response element (either the AP1 site or ERE) regulates expression of a reporter gene.

Typically, two different reporter genes are used. One gene reports transcription induced by the classical estrogen response system, while the other gene reports transcription induced by the indirect estrogen response. The two reporter genes and response elements are typically placed in separate cells, but the methods can also be used with both constructs in the same cell.

The reporter gene for the classical estrogen response system contains an estrogen response element (ERE) upstream of the target promoter and capable of regulating that promoter. In a preferred embodiment the ERE may be the consensus estrogen response element AGGTCACAGTGACCT from the Xenopus vitellogenin A2 gene.

The particular ERE used in the cells is not a critical aspect of the invention and the present invention is not limited to the use of this ERE. Other EREs known to one of skill in the art can also be used. For instance, other sources of naturally occurring EREs include the B2 gene, the chicken ovalbumin gene, and the PS2 gene. Alternatively, non-naturally occurring EREs may be inserted into particular promoters. The consensus ERE from the Xenopus vitellogenin A2 gene is widely used for this purpose, but other EREs may be used as well.

pathway contains an AP1 site upstream of the target promoter and capable of regulating that promoter. The AP1 site is a sites that are bound by AP1 (the Jun and Fos proteins) or other members of that protein family. In a preferred embodiment, the consensus AP1 site is TGA(C/G)TCA.

8

One of skill would recognize that the particular AP1 site used is not a critical aspect of the invention. Any sequence capable of being bound by AP1 or members of that family and regulating a promoter is suitable. This would include promoters which encompass a naturally occuring AP1 site. Typical promoters include, but are not restricted to metalloprotease genes such as stromelysin, gelatinase, matrilysin, and the human collagenase gene.

Alternatively promoters may be constructed which contain a non-naturally occuring AP1 or related binding site. This facilitates the creation of reporter gene systems that are not typically found under the control of AP1. In addition, promoters may be constructed which contain multiple copies of the AP1 site thereby increasing the sensitivity or possibly modulating the response the reporter gene system.

160

165

170

175

180

185

The present invention is not limited to a particular reporter gene. Any gene that expresses an easily assayable product will provide a suitable indicator for the present assay. Suitable reporter genes are well known to those of skill in the art. They include, for example, bacterial chloramphenicol acetyl transferase (CAT), beta-galactosidase, or luciferase.

To screen a number of compounds for antiestrogen action, cells with high level expression of human estrogen receptors and harboring either response element and reporter genes are exposed to doses of estrogen which give half maximal induction or less. In each case this will result in induction of several to hundreds of fold depending on the levels of estrogen receptor and the particular details of the reporter This will be reflected in increases of the construction. reporter gene product, such as the CAT gene product which may be quantitated by enzymatic assay. The cells can be exposed to estrogens either growing in separate wells of a multi-well culture dish or for colorometic assay in a semi-solid nutrient The compounds to be tested are added to the culture dish wells or to small wells made in the semi-solid matrix and the effect on the estrogen induction is assayed. antiestrogen compound will reduce or abolish the estrogen

9

induced increase in reporter gene activity. A hypothetical pure antiestrogen will block estrogen action with both types of reporter genes and will have no ability to induce the reporter genes in the absence of estrogen. A mixed estrogen antagonist-agonist, will show some ability to induce the reporter genes, especially the reporter genes linked to AP1 site.

195 In another embodiment, compounds which block the indirect pathway can be used to supplement tamoxifen or other antiestrogens in the treatment or prevention of breast cancer and other diseases mediated by estrogen. These compounds function to eliminate estrogenic agonistic activity of antiestrogens. Second they may have uses by themselves. In 200 particular, it may be advantageous to block some estrogen mediated pathological effects at indirect estrogen response elements while leaving the direct pathway active. Compounds that block the indirect pathway are useful as components of combined oral contraceptives (COC) containing estrogens and 205 progestins. A triple COC, containing estrogens, progestins, and a blocking compound would allow estrogen, either in the formulation or endogenous to act at the classical response elements, but would block action at the indirect response Thus, a triple COC functions as current COCs to 210 prevent pregnancy, but may also provide protective effects against breast cancer.

Typically, the reporter gene linked to the AP1 site is activated, not with estrogen, but with an excess (10 times the Kd) of tamoxifen, 4-hydroxy tamoxifen, or other antiestrogen. A library of compounds is searched for candidates that block or reduce reporter gene activation by the antiestrogen. The candidates are then tested with cells containing the reporter gene for the classical pathway to confirm that they do not interfere with estrogen activation at an ERE.

215

220

225

Compounds identified in the assays of the invention can be used in standard pharmaceutical compositions for the treatment of cancer, as components of oral contraceptives, or any other application in which the modulation of ostrogen

235

240

255

260

activity is desired. The pharmaceutical compositions can be prepared and administered using methods well known in the art. The pharmaceutical compositions are generally intended for parenteral, topical, oral or local administration for prophylactic and/or therapeutic treatment. The pharmaceutical compositions can be administered in a variety of unit dosage forms depending upon the method of administration. For example, unit dosage forms suitable for oral administration include powder, tablets, pills, and capsules.

Suitable pharmaceutical formulations for use in the present invention are found in Remington's Pharmaceutical Sciences, Mack Publishing Company, Philadelphia, PA, 17th ed. (1985), which is incorporated herein by reference. A variety of pharmaceutical compositions comprising compounds of the present invention and pharmaceutically effective carriers can be prepared.

The following example is offered by way of illustration, not by way of limitation.

#### EXAMPLE

The human collagenase gene, like other matrix metalloproteases, responds to AP1. Angel, et al., Mol. Cell. Biol., 7: 2256-2266 (1987). To test whether an AP1 site could confer estrogen response the collagenase promoter was fused to the bacterial CAT gene (Δcoll73) and transfected into Chinese Hamster Ovary cells that overexpress ER (ERC1) Kushner, et al. Mol. Endocrinol. 4: 1465-1473 (1990).

Coll73 and Coll60, previously described by Angel, P. et al., Mol. Cell. Biol., 7: 2256-2266 (1987) which is herein incorporated by reference, were modified by digestion with Eco0109 and Ndel to remove an AP1 site in the backbone of pUC and were consequently designated Δ. EREΔcoll60 was prepared by ligation of a consensus ERE, AGGTCACAGTGACCT, into the HindIII site upstream of the Δcoll60 promoter. ERC transfections and electroporations were carried out as described in Kushner, et al., Mol. Endocrinol., 4: 1465-1473 (1990) which is herein incorporated by reference. F9 cell transfections were by calcium phosphate coprecipitation. Cells were seeded at 30% confluence upon 1.5 cmn dishes and

11

transfected overnight with 5 mg reporter gene, 1 mg actin B-HCG.

270

275

290

295

300

Included in some transfections, as indicated, were 100ng of AP1 expression vectors and 1µg of ER expression vector HEO. Kumar, et al., Cell, 51: 941-951 (1987) which is herein incorporated by reference. The human cfos expression vector was BK28. Sassone-Corsi, et al., Cell, 54: 553-560 (1988) which is herein incorporated by reference. The human c-jun expression vector was RSVc-Jun. Turner & Tjian, Science, 243: 1689-1694 (1989) which is herein incorporated by reference. The cells were glycerol shocked, re-fed and hormone treated. All CAT assays were normalised to production of HCG as described in Kushner, et al., Mol. Endocrinol. 4: 1465-1473 (1990). Data are expressed as counts per minute of tritiated acetyl CoA converted per unit of HCG (CAT units).

Estradiol stimulated Δcoll73 ten fold (Fig. 1A),
whereas a similar reporter in which the AP1 site had been removed (Δcoll60), gave reduced basal activity and no estrogen response. Substitution of a classical ERE (Klein-Hitpass, et al., Nucl. Acids Res. 16: 647-663 (1988)) for the AP1 site (EREΔcoll60), restored estrogen response, but not the elevated basal activity.

In F9 cells, which lack endogenous AP1 activity (Chiu, et al. Cell, 54: 541-551 (1988) which is herein incorporated by reference) Δcoll73 failed to respond to estrogen in the presence of transiently transfected ER (Fig. 1B). Estrogen activation could be restored by cotransfecting expression vectors for AP1 proteins c-Jun and c-fos. Sassone-Corsi, P. et al., Cell, 54: 553-560 (1988). Turner & Tjian, Science 243: 1689-1694 (1989). In parallel, EREAcoll60 was estrogen responsive, even in the absence of AP1, and Δcoll60 and remained unaffected by estrogen (data not shown). Estrogen induction of the collagenase promoter therefore required both the AP1 site and AP1 proteins.

To confirm that ER activates transcription through AP1 sites under physiological conditions, we repeated the study in MCF-7 (Fig. 2), a human breast tumor cell line in which activity of the collagenase gene family is estrogen

responsive and related to tumor invasiveness. Thompson, et al., Cancer Res. 48: 6764-6768 (1988) which is herein incorporated by reference.

305 APIATK-CAT consists of the collagenase AP1 site cloned upstream of a herpes simplex virus TK promoter (-32 to TK-TATA lacks any known upstream transcription factor binding sites. AP1 $\Delta$ TK-CAT was constructed by ligation of an oligonucleotide spanning collagenase sequences -73 to -52 into the HindIII site upstream of a TK-CAT derivative which had 310 been modified to remove the PUC AP1 site. AP1 TK-TATA was constructed by ligation of the same oligonucleotide into the HindIII site of a CAT vector which contained TK sequences -32 to +45 and already lacked the PUC19 AP1 site Leitmann, D.C. et al., Mol. Cell Biol., 12: 1352-1356 (1992) which is herein 315 incorporated by reference. MCF-7 transfections used the same protocol as ERC cells described in Kushner, et al., Mol. Endocrinol., 4: 1465-1473 (1990).

removal of the AP1 site abrogated estrogen responsiveness.

The collagenase AP1 site also conferred estrogen response upon the herpes simplex virus TK promoter and the isolated TK TATA box, neither of which was estrogen responsive alone. Thus, the AP1 site was all that was required for estrogen response on heterologous promoters. This type of estrogen induction may represent a far more common pathway than hitherto suspected. This pathway is referred to as the indirect estrogen response.

The effects of antiestrogens on the indirect

estrogen response were examined next. All cells were
transfected as described in Kushner, et al. Mol. Endocrinol.,

4: 1465-1473 (1990). Ishikawa cells, described by Holinka, et
al., J. Steroid Biochem., 25: 781-786 (1986) which is
incorporated by reference, were induced with lonm PMA and 10μM

forskolin prior to estrogen and antiestrogen induction.

Tamoxifen inhibits estrogen action at classical EREs by interfering with the estrogen dependent transcription activation function in the ER ligand binding domain. Berry, et al., E.M.B.O. J., 9: 2811-2818 (1990). It sometimes shows

13

partial agonism at classical EREs, which is attributed to activity of a cell type specific constitutive transactivation function in the amino terminus. Id. ICI inhibits a dimerization function (Fawell, et al. Proc. Natl. Acad. Sci. U.S.A. 87: 6883-6887 (1990)), which prevents high affinity interaction with EREs in target promoters, and does not show agonism in most assays. Wakeling, A. J. Steroid Biiochem. Molec. Biol., 37: 771-775 (1990).

350

355

360

365

370

375

Remarkably, both tamoxifen and ICI stimulated  $\Delta$ coll73 expression five fold in ERC1 cells (Fig.3A). Neither affected  $\Delta$ coll60. In contrast, ERE $\Delta$ coll60 showed estrogen, but not antiestrogens response indicating that antiestrogen agonism is specific to the AP1 site. The dose response (Fig.3B) of each agonist was consistent with its affinity for the ER (Tora, et al., E.M.B.O. J., 8: 1981-1986 (1989) (1nM for estradiol, 10 nM for ICI and tamoxifen). We also examined antiestrogen response in cells with endogenous ER. In MCF7, tamoxifen weakly stimulated  $\Delta$ coll73 expression and ICI showed little effect (Fig.3C). A human endometrial cell line (Holinka, et al., J. Steroid Biochem., 25: 781-786 which is herein incorporated by reference), estrogen, tamoxifen and ICI were equally potent in eliciting an Ap1 site-specific two fold response but did not affect the classical ERE.

The effect of transiently expressed ER mutants (Kumar, et al. Cell, 51: 941-951 (1987), Kumar & Chambon, Cell, 55: 145-155 (1988) which is herein incorporated by reference) upon Δcoll73 in CHO cells was examined to determine which ER domains mediate an indirect response. Estrogen and tamoxifen activated the collagenase promoter (Fig. 4A) although both were less effective with high amounts of transiently transfected ER. ICI induction became more significant at high ER contents. This is consistent with observations that ICI reduces ER levels by reducing the half life of ER protein. Dauvois, et al., Proc. Natl. Acad. Sci. U.S.A., 89: 4037-44041 (1992).

The ER contains two transcriptional activation functions (Fig.4B), amino terminal constitutive (TAF-1), and carboxvl terminal estrogen dependent (TAF-2).

385

390

395

400

405

(HE15) appears to give hormone independent activation of transcription and deletion of TAF-1 (HE19) reduced estrogen response and abolished antiestrogen activity. Antiestrogen activity upon Δcoll73 in CHO cells therefore reflects TAF-1 activity. This agrees with suggestions that tamoxifen cannot activate TAF-2 (Berry, et al. (1990)) and further implies that ICI cannot activate this function. ICI agonism may also imply that monomeric ER functions in this complex. Fawell, et al. (1990). Deletion of the DNA binding domain (HE11) did not reduce hormone induction suggesting that the response is independent of DNA binding and rather mediated indirectly through AP1 proteins.

In summary, indirect estrogen response is widely active, and antiestrogens are agonists of this pathway. it is possible that any of the well described agonist effects of tamoxifen reflects indirect estrogen response. Antiestrogens would have estrogenic activity on critical AP1 regulated target genes, hence growth and differentiated response, in cells in which ER and AP1 proteins could interact. Changes in AP1 during tumor progression could be particularly significant, and should be considered in models of antiestrogen resistance in breast cancer. Parker, et al. Cancer Surveys, 14, Growth Regulation by Nuclear Hormone Receptors. Cold Spring Harbor Laboratory Press (1992).

Although the invention is described in some detail in the above description and examples for the purposes of clarity and understanding, it will be apparent that certain changes and modifications can be made within the scope of the attached claims.

## WHAT IS CLAIMED IS:

- 1. A method for screening a test compound for the ability to activate transcription through an indirect estrogen response, the method comprising:
- a) providing a cell comprising an estrogen receptor and a promoter comprising an AP1 site which regulates expression of a reporter gene;
  - b) contacting the cell with the test compound; and
  - c) detecting the expression of the reporter gene.
- 2. A method of claim 1, wherein the cell is a MCF-7 cell.
- 3. A method of claim 1, wherein the cell overexpresses the estrogen receptor.
- 4. A method of claim 3, wherein the cell is an ERC1 cell.
- 5. A method of claim 1 wherein the reporter gene encodes beta-galactosidase.
- 6. A method of claim 1 wherein the reporter gene encodes bacterial chloramphenicol acetyl transferase.
- 7. The method of claim 1, wherein the promoter is genetically engineered to comprise an AP1 site.
- 8. A method of claim 1, further comprising the steps of:
- a) providing a second cell comprising an estrogen receptor and a promoter comprising a standard estrogen response element which regulates expression of a second reporter gene;
- b) contacting the second cell with the test compound; and
- c) detecting the expression of the second reporter

PCT/US94/09898

- 9. A method of claim 8, wherein the response element is from the Xenopus vitellogenin A2 gene.
- 10. A method of claim 1, wherein the cell further comprises a promoter comprising a standard estrogen response element which regulates expression of second reporter gene.
- 11. A method of claim 10 wherein the response element is from the Xenopus vitellogenin A2 gene.
- 12. An estrogen agonist identified by the method of claim 1.
- 13. A method for screening a test compound for the ability to inhibit transcription through an indirect estrogen response, the method comprising:
- a) providing a cell comprising an estrogen receptor and a promoter comprising an AP1 site which regulates expression of a reporter gene:
- b) contacting the cell with the test compound and a compound known to mediate an indirect estrogen response;
  - c) detecting the expression of the reporter gene.
- 14. The method of claim 13, wherein the compound known to mediate an indirect estrogen response is tamoxifen.
- 15. The method of claim 13, wherein the compound known to mediate an indirect estrogen response is estrogen at half maximal concentration.
- 16. A method of claim 13, wherein the cell is a MCF-7 cell.
- 17. A method of claim 13, wherein the cell overexpresses the estrogen receptor.
- 18. A method of claim 17, wherein the cell is an ERC1 cell.

- 19. The method of claim 13, wherein the promoter is genetically engineered to comprise an AP1 site.
- 20. A method of claim 13, further comprising the steps of:
- a) providing a second cell comprising an estrogen receptor and a promoter comprising a standard estrogen response element which regulates expression of a second reporter gene;
- b) contacting the second cell with the test compound; and
- c) detecting the expression of the second reporter gene.
- 21. The method of claim 20, further comprising the step of contacting the second cell with a compound known to mediate a standard estrogen response.
  - 22. A compound identified by the method of claim 13.
- 23. A composition comprising a cell which over-expresses an estrogen receptor and comprises a promoter comprising an AP1 site which regulates expression of a reporter gene.
- 24. The composition of claim 23, 23 wherein the cell is ERC1.

-				
	•			
-				
-				
			•	
			•	
		•		

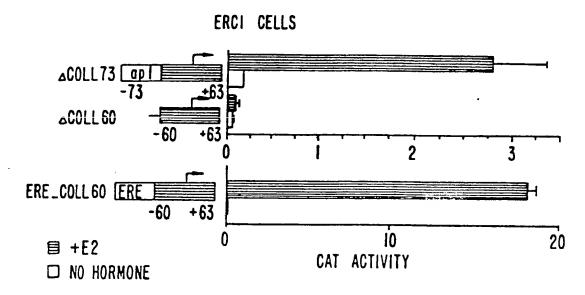
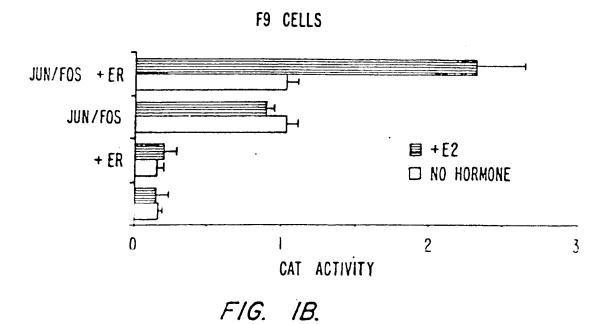


FIG. IA.



		٠.
	•	
•		

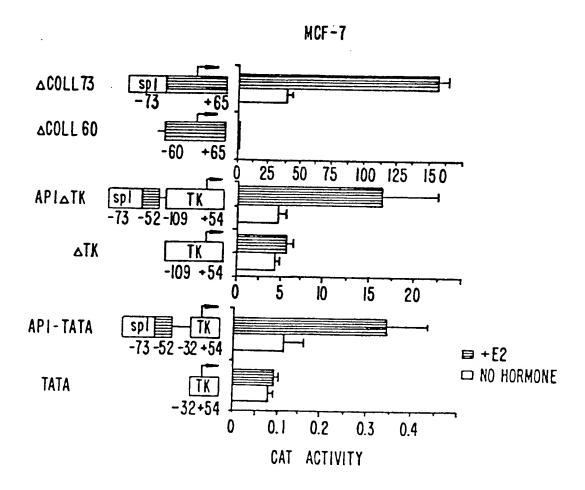
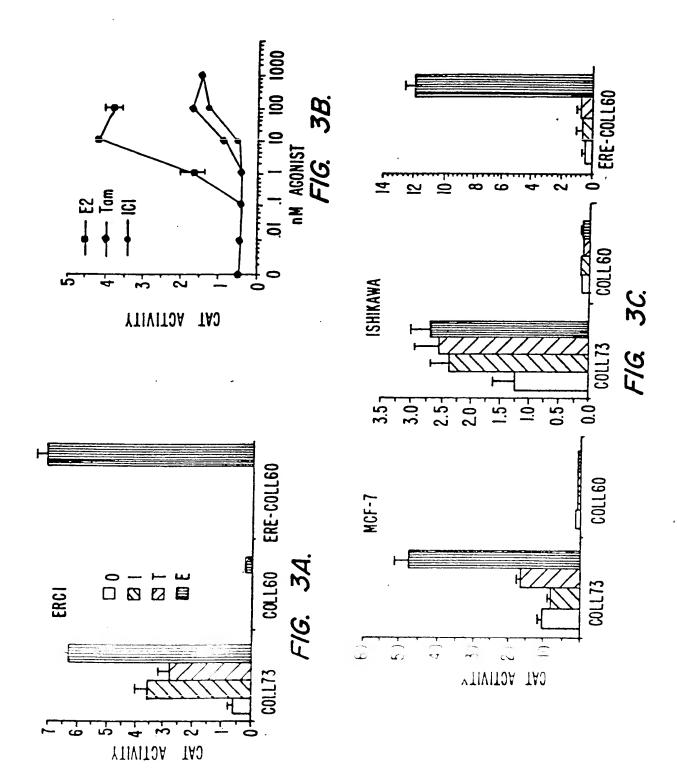


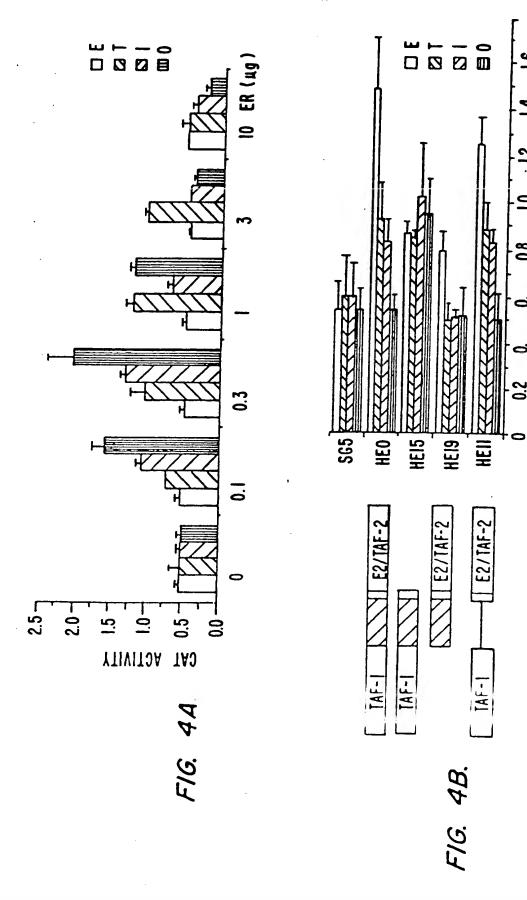
FIG. 2.

	;	
		•
		*
	•	
•		



		•
	•	





# INTERNATIONAL SEARCH REPORT

International application No. PCT/US94/09898

		_ <del></del>		
	ASSIFICATION OF SUBJECT MATTER :C12Q 1/68,1/00;C12P 21/06;C12N 15/00,1/20;C	101N 22/555-007V 2/00		
US CL	:435/6, 7.2, 69.1, 172.3, 252.3; 436/501; 530/35	O ·		
According	to International Patent Classification (IPC) or to be	oth national classification and IPC		
	LDS SEARCHED			
Minimum (	documentation searched (classification system follow	wed by classification symbols)		
<del> </del>	435/6, 7.2, 69.1, 172.3, 252.3; 436/501; 530/350			
Documenta	tion searched other than minimum documentation to	the extent that such documents are included	in the fields searched	
	data base consulted during the international search ALOG - BIOTECH FILES	(name of data base and, where practicable	, search terms used)	
C. DOC	CUMENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where	appropriate, of the relevant passages	Relevant to claim No.	
X	Journal of Biological Chemistry, \	/olume 268, No. 19, issued	1-3, 6-11, 13-	
	05 July 1993, A. Philips et al, "E estrogens Antagonize the Growt	stradiol Increases and Anti-	17, 19-21, 23	
<b>Y</b>	*******			
	4, 5, 12, 18,			
Affecting c-fos and c-jun Synthesis", pages 14103-14108, 22, 24 see entire document.				
	The second secon			
	BioTechniques, Volume 9, No. 4, "A New Cellular Model of Re Bioluminescent Test to Ch Molecules", pages 450-459, see	esponse to Estrogens: A aracterize (Anti)Estrogen	1-24	
	or documents are listed in the continuation of Box (			
doct	ument defining the general state of the art which is not considered e of particular relevance	with document published after the inter- date and not in conflict with the applica- principle or theory underlying the inve	tion but cited to understand the	
carti	er document published on or after the international filing date	"X" document of particular relevance; the considered novel or cannot be consider	claimed invention cannot be	
citod	ament which may throw doubts on priority claum(s) or which is to establish the publication date of another citation or other	when the document is taken alone	·	
фс	ad reason (as specified)	"Y" document of particular relevance: the considered to involve an inventive	step when the document is	
to cau		combined with one or more other such being abvious to a person skilled in the		
docu the p	ment published prior to the international filing date but later than priority date claimed	"&" document member of the same potent f	amily	
	ctual completion of the international search	Date of mailing of the internation sear	ch report	
me and ma	alling address of the ISA/US	Authorized officer	11	

## INTERNATIONAL SEARCH REPORT

International application No. PCT/US94/09898

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No
9J		
ľ	Cell, Volume 63, issued 21 December 1991, MP. Gaub et al, "Activation of the Ovalbumin Gene by the Estrogen Receptor Involves the Fos-Jun Complex", pages 1267-1276, see entire document.	1-24
	Molecular Endocrinology, Volume 4, No. 10, issued 1990, P. J. Kushner et al, "Construction of Cell Lines that Express High Levels of the Human Estrogen Receptor and Are Killed by Estrogens", pages 1465-1473, see entire document.	4, 5, 12, 18, 22, 24